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**Facsimile Transmittal Sheet**

DATE• August 20, 2004

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PLEASE DELIVER THE FOLLOWING PAGES TO:

NAME• Examiner Evelyn Mei Huang  
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PHONE• 571-272-0686  
FAX• 703-872-9306  
CLIENT/MATTER• 9792909-4689  
FROM• W. John Keyes

TOTAL NUMBER OF PAGES TRANSMITTED, INCLUDING THIS SHEET:

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**Please Deliver to**  
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**CONFIDENTIALITY NOTE**

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Total Number of Pages in This Submission 9

|                        |                  |
|------------------------|------------------|
| Application Number     | 09/704,968       |
| Filing Date            | November 2, 2000 |
| First Named Inventor   | Tetsuo Shibamura |
| Group Art Unit         | 1625             |
| Examiner Name          | Evelyn Mei Huang |
| Attorney Docket Number | 09792909-4689    |

## ENCLOSURES (check all that apply)

- ☒ Transmitted herewith is Amendment "E."  
☒ The fee has been calculated as shown below:

|                       | (2)<br>CLAIMS<br>REMAINING AFTER<br>AMENDMENT   | (4)<br>HIGHEST NO.<br>PREVIOUSLY PAID<br>FOR | (5)<br>PRESENT<br>EXTRA   | (6)<br>RATE  | (7)<br>ADDITIONAL<br>FEE |
|-----------------------|---|--|---|--|--------------------------|
| TOTAL<br>CLAIMS       | 4   | 20   | 0   | <input type="checkbox"/> x \$9.00<br><input type="checkbox"/> x \$18.00                | \$0                      |
| INDEPENDENT<br>CLAIMS | 4   | 3 (+1 extra)                                 | 0   | <input type="checkbox"/> x \$42.00<br><input type="checkbox"/> x \$84.00               | \$0                      |
|                       | APPLICATION AMENDED TO CONTAIN<br>ANY MULTIPLE DEPENDENT CLAIMS NOT<br>PREVIOUSLY PAID FOR. |  | <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO | <input type="checkbox"/> x \$140.00<br><input type="checkbox"/> x \$280.00<br>ONE TIME | \$0                      |
|                       | TOTAL ADDITIONAL FEE<br>FOR THIS AMENDMENT  |  |   |  | \$0                      |

- ☐ Applicant petitions the Commissioner for Patents to extend the time for responding to the Office Action dated March 22, 2004 by 2 month(s) for a fee of \$420.00 so that the period for response is extended to August 22, 2004, under 37 C.F.R. § 1.321.
- ☐ The amount of \$\_\_\_\_\_ for the Terminal Disclaimer under 37 C.F.R. § 1.321 is included in the enclosed check.
- ☐ The amount of \$\_\_\_\_\_ for the Amendment under 37 C.F.R. § 1.312(b) is included in the enclosed check.
- ☒ The enclosed credit card payment form to charge the amount of \$420.00 covers the extension and claim fees.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or to credit any overpayment to Account No. 19-3140. A duplicate of this sheet is enclosed.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

14. ☒ Customer No. 26263

Dated: August 20, 2004

W. John Reyes, Ph.D., (Registration No. 54,218)

## CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence is being sent via facsimile (703-872-9306) to Examiner Evelyn Mei Huang, Group Art Unit No. 1625, at the United States Patent and Trademark Office on the date indicated below.

Dated: August 20, 2004

W. John Reyes

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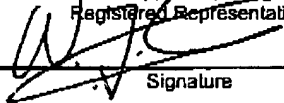
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Date of Facsimile

**OFFICIAL**

W. John Keyes, Ph.D., Reg. No. 54,218

Name of Applicant, Assignee or  
Registered Representative

  
Signature

Our Case No. 09792909-4689

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re U.S. Patent Application of:  
T. Shibamura, *et al.*

Serial No.: 09/704,968

Filed: November 2, 2000

For: BATHOPHENANTHROLINE  
COMPOUND AND PROCESS  
FOR PREPARING SAME

Examiner: Evelyn Mei Huang

Group Art Unit: 1625

**AMENDMENT "E"**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action of March 22, 2004, Applicants respectfully request entry of the changes below prior to the examination of the above-identified patent application.